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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/056,743	01/25/2002	Eric Kolb	DEP604	9907
27777	7590	03/29/2004		
PHILIP S. JOHNSON JOHNSON & JOHNSON ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003			EXAMINER PHILOGENE, PEDRO	
			ART UNIT	PAPER NUMBER
			3732	9

DATE MAILED: 03/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/056,743

Applicant(s)

KOLB, ERIC

Examiner

Pedro Philogene

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 23 January 2004.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-14 and 25-27 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-14 and 25-27 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- 1) ☐ Certified copies of the priority documents have been received.
  - 2) ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 06.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1,2,4, 8-9,14,25-27 are rejected under 35 U.S.C. 102(b) as being anticipated by Aubaniac (FR 2,651,118).

With respect to claim 1, Aubaniac discloses a prosthesis comprising a stem (1) for implanting at least partially within the medullary canal of a long bone; as best seen in FIG.1; a rod (2) connected to the stem; as best seen in FIG.2, a centralizer (3) defining an aperture therein for slidably receiving at least a portion of the rod (2), the centralizer including a surface thereof for guiding the rod into the aperture, as best seen in FIG.2.

With respect to claims 2,4, 7, Aubaniac discloses all the limitations; as set forth in pages 1-6, lines 1-35; and as best seen in FIGS.1-3.

With respect to claim 8, Aubaniac discloses a prosthesis comprising a stem (1) for implanting at least partially within the medullary canal of a long bone, the stem having a first portion and a second portion, the first portion defining a cavity therein; as best seen in FIG.2; a rod (2) at least partially fittable within the cavity of the first portion of the stem; as best seen in FIG.2, the rod defining a longitudinal axis thereof; the rod being removable from the stem a first direction along the axis and the rod being restrained within the stem a second direction opposed to the first direction along the

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axis; as best seen in the FIGS; a centralizer (3) slidably fitted to at least a portion of the rod.

With respect to claims 9,14; Aubaniac discloses all the limitations; as set forth in pages 1-6,lines 1-35; and as best seen in FIGS. 1-3.

With respect to claims 25-27; the method steps, as set forth, would have been inherently carried out in the operation of the device, as set forth above.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 3,7,12,13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Aubaniac (FR 2,651,118) in view of OTTO et al. (DE 3,314,210).

With respect to claims 3,7,12,13, it is noted that Aubaniac did not teach of a centralizer comprising ribs on the outer surface and a through hole, as claimed by applicant. However, in a similar art, Otto et al. evidences the use of a centralizer with ribs on the outer surface and a through hole to permit anchoring in a prepared medullary canal and centering of the stem.

Therefore, given the teaching of Otto et al., it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate ribs and a through hole; as taught by Otto et al., in the device of Aubaniac to permit anchoring in a prepared medullary canal and centering of the stem.

Claims 5,6,10,11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Aubaniac (FR 2,651,118) in view of Harder et al. (EP 0006408).

With respect to claims 5,6,10,11, it is noted that Aubaniac did not teach of a centralizer having a surface that converges toward the aperture and comprising a funnel; as claimed by applicant. However, in a similar art, Harder et al., evidence the use of a centralizer with a surface that converges toward the aperture and comprising a funnel for aligning the distal end of the femoral stem at a desired position within the natural femur.

Therefore, given the teaching Harder et al., it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the funnel shape with a surface that converges toward the aperture in the device of Aubaniac, for aligning the distal end of the femoral stem at a desired position within the natural femur.

### ***Response to Amendment***

Applicant's arguments with respect to claims 1-14, 25-27 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

A shortened statutory period for reply to this action is set to expire THREE MONTHS from the mailing date of this action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Pedro Philogene whose telephone number is (703) 308-

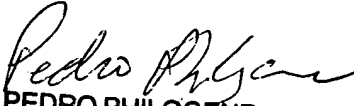
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2252. The examiner can normally be reached on Monday to Friday 6:30 AM to 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kevin P Shaver can be reached on (703) 308-2582. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Pedro Philogene  
March 16, 2004

  
PEDRO PHILOGENE  
PRIMARY EXAMINER